

NOTICE OF SPECIFIED PENALTY

Date of Issue: January 12, 2012

Payment Due Date: February 13, 2012

MSA File Number:	2011-238	Specified Penalty Amount:	\$ 250
Market Participant Name:	ATCO Power (Poplar/Rainbow)		
Asset ID (if applicable):	RB5	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	6.5.3	Date of Contravention:	November 11, 2011
Date of Referral/Self Report:	December 9, 2011	This is the 1st contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On November 11, 2011 at 06:42 the RB5 asset accepted a dispatch for 25 MW of Spinning Reserve (SR) effective at 07:00. On November 11, 2011 at 20:38 the RB5 asset received a directive to provide 25 MW of SR, effective immediately. From the time of the directive until the directive was cancelled at 20:51 the RB5 asset did not meet the required generation. The RB5 asset was generating 12 MW of the expected 25 MW of SR by 20:48. Rule 6.5.3 states spinning reserve, supplemental reserve generation and supplemental reserve load (SR, SUPG, SUPL)- The amount of MW stated in the ancillary service dispatch will be provided within 10 minutes of an ancillary service directive unless there is immediate risk to personnel or equipment safety.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 6.5.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Generation and dispatch data for the RB5 asset for November 11, 2011.
2. ATCO Power (Poplar/Rainbow) self report to the MSA dated December 9, 2011.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	February 13, 2012
Name:	Doug Doll	Title:	Manager Compliance