

## NOTICE OF SPECIFIED PENALTY

Date of Issue: January 11, 2012

Payment Due Date: February 13, 2012

MSA File Number:	2011-212	<b>Specified Penalty Amount:</b>	\$ 250
Market Participant Name:	AltaGas Ltd.		
Asset ID (if applicable):	ALP1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	6.5.3	Date of Contravention:	November 15, 2011
Date of Referral/Self Report:	November 16, 2011	This is the 1st contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On November 14, 2011 at 23:56 the ALP1 asset was manually dispatched for 5 MW of Supplemental Reserve Generation (SUPG), effective immediately. On November 15, 2011 at 17:58 the ALP1 asset received a directive to provide 5 MW of Supplemental Reserve Generation (SUPG), effective immediately. At the time of the directive, ALP1 was already generating near capacity and could not provide the directed reserves. At 19:15 the System Controller phoned the AltaGas control room to ask for the unit to be shut down. Rule 6.5.3 states spinning reserve, supplemental reserve generation and supplemental reserve load (SR, SUPG, SUPL)- The amount of MW stated in the ancillary service dispatch will be provided within 10 minutes of an ancillary service directive unless there is immediate risk to personnel or equipment safety.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 6.5.3.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Generation and dispatch data for the ALP1 asset for November 15, 2011.
2. AltaGas Ltd. self report to the MSA dated November 16, 2011.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) [sabi.ghavami@auc.ab.ca](mailto:sabi.ghavami@auc.ab.ca), and Darin Lowther (Director, Market Rules) [darin.lowther@auc.ab.ca](mailto:darin.lowther@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature:	"Original Signed"	Signature Date:	January 11, 2012
Name:	Doug Doll	Title:	Manager Compliance