

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 25, 2011

Payment Due Date: March 28, 2011

MSA File Number:	2011-006	Specified Penalty Amount:	\$ 500
Market Participant Name:	TransAlta Generation Partnership		
Asset ID (if applicable):	BRA	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	6.5.3	Date of Contravention:	July 22, 2010
Date of Referral/Self Report:	January 7, 2011	This is the 1st contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On July 22, 2010 the BRA asset accepted a dispatch to provide 92 MW of Regulating Reserves, 90 MW of Spinning Reserve (SR), 55 MW of Supplemental Reserve Generation (SUPG) and 93 MW of energy, commencing at 12:00. At approximately 15:39 on July 22, 2010, the AESO issued a directive for the BRA asset for the 55 MW of SUPG and 90 MW of SR. The directive for 55 MW of SUPG was cancelled at approximately 15:46. From approximately 15:49 until the directive was cancelled at 15:57 the BRA asset did not meet the required generation. Rule 6.5.3 states the system controller will expect from the ancillary service providers that have accepted an ancillary service dispatch, that, when the system controller directs them to deliver an ancillary service, it will be delivered up to the ancillary service dispatched level.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 6.5.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Generation and dispatch data for the BRA asset for July 22, 2010.
2. AESO Information Request to TransAlta Generation Partnership dated December 3, 2010.
3. TransAlta Generation Partnership December 16, 2010 response to AESO information request.
4. AESO letter to TransAlta Generation Partnership dated January 7, 2011 notifying the referral of the matter to the MSA.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	February 25, 2011
Name:	Doug Doll	Title:	Manager Compliance