

## NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2011

Payment Due Date: March 25, 2011

MSA File Number:	2011-003	<b>Specified Penalty Amount:</b>	\$ 750
Market Participant Name:	Suncor Energy Inc		
Asset ID (if applicable):	SCR1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	6.6	Date of Contravention:	December 17, 2010
Date of Referral/Self Report:	January 5, 2011	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On December 17, 2010 at approximately 07:52 the SCR1 asset accepted a net to grid directive to not exceed 138 MW. The SCR1 immediately ramped down 490 MW to comply with the system controller's directive. At 09:00 the SCR1 asset received a dispatch down to 425 MW. The SCR1 asset did not move directionally towards the new dispatch level. The SCR1 asset was out compliance until approximately 18:20, approximately 7 hours and 30 minutes. Section 6.6.3(a) of ISO rule 6.6 requires that in accordance with an energy market dispatch issued to a pool participant, the output of a generating asset must be changed to move directionally towards the quantity (MW) indicated in that energy market dispatch within ten (10) minutes of the time specified in the energy market dispatch.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 6.6.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Suncor Energy Inc's submitted by TransAlta Corporation dated January 5, 2011.
2. AESO Available Capability (AC), dispatch, directive and generation data for SCR1 on December 17, 2010.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) [sabi.ghavami@auc.ab.ca](mailto:sabi.ghavami@auc.ab.ca), and Darin Lowther (Director, Market Rules) [darin.lowther@auc.ab.ca](mailto:darin.lowther@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature:	"Original Signed"	Signature Date:	February 22, 2011
Name:	Doug Doll	Title:	Manager Compliance