

## NOTICE OF SPECIFIED PENALTY

Date of Issue: December 16, 2010

Payment Due Date: January 19, 2011

MSA File Number:	2010-099	<b>Specified Penalty Amount:</b>	\$ 500
Market Participant Name:	TransAlta Generation Partnership		
Asset ID (if applicable):	BOW1	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	6.5.3	Date of Contravention:	July 21, 2010
Date of Referral/Self Report:	November 1, 2010	This is the 1st contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On July 21, 2010 at 09:47 the BOW1 asset accepted a dispatch to provide 28 MW of Regulating Reserves, 36 MW of Spinning Reserve (SR), 62 MW of Supplemental Reserve Generation (SUPG) and 105 MW of energy, commencing at 10:00. At 10:20:42 on July 21, 2010, the AESO issued a directive for the BOW1 asset for the 62 MW of SUPG and 36 MW of SR. The BOW1 asset did not meet the required generation for approximately 7 minutes. The directive for 62 MW of SUPG was cancelled at 10:39:38 and the directive for the 36 MW of SR was cancelled at 11:10:54. Rule 6.5.3 states spinning reserve, supplemental reserve generation and supplemental reserve load (SR, SUPG, SUPL)- The amount of MW stated in the ancillary service dispatch will be provided within 10 minutes of an ancillary service directive unless there is immediate risk to personnel or equipment safety.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 6.5.3.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Generation and dispatch data for the BOW1 asset for July 21, 2010.
2. AESO Information Request to TransAlta Generation Partnership dated October 5, 2010.
3. TransAlta Generation Partnership October 18, 2010 response to AESO information request.
4. AESO letter to TransAlta Generation Partnership dated November 1, 2010 notifying the referral of the matter to the MSA.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) [sabi.ghavami@auc.ab.ca](mailto:sabi.ghavami@auc.ab.ca), and Darin Lowther (Director, Market Rules) [darin.lowther@auc.ab.ca](mailto:darin.lowther@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature:	"Original Signed"	Signature Date:	December 16, 2010
Name:	Doug Doll	Title:	Manager Compliance