

## NOTICE OF SPECIFIED PENALTY

Date of Issue: August 24, 2010

Payment Due Date: September 24, 2010

MSA File Number:	2010-061	<b>Specified Penalty Amount:</b>	\$ 2,500
Market Participant Name:	Canadian Natural Resources Ltd.		
Asset ID (if applicable):	CNR5	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	6.6	Date of Contravention:	May 29, 2010
Date of Referral/Self Report:	July 7, 2010	This is the second contravention by this asset for this rule within a rolling 12 month period. See MSA File 2010-050.	

### EVENT DETAILS

On May 29, 2010 at approximately 01:14, a production line was unexpectedly shut down for unplanned maintenance which caused a drop of approximately 10 MW of on-site electrical load resulting in an increase in net generation. Data provided by the AESO indicates that the CNR5 asset generated at a level up to 8.13 MW outside of its Dispatch Variance of 5 MW for 21 10 minute clock periods between 00:40 and 04:10 on May 29, 2010. Section 6.6.2 of ISO Rule 6.6 states that during generating asset steady state, with reference to an energy market dispatch issued to a pool participant, the average quantity (MW) delivered by a generating asset in any 10 minute clock period referenced in the energy market dispatch must not vary from the energy market dispatch quantity (MW) by more than the ADV.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 6.6.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO Available Capability (AC), dispatch, and generation data for CNR5 asset on May 29, 2010.
2. AESO Information Request to Canadian Natural Resources Ltd. dated June 17, 2010.
3. Canadian Natural Resources Ltd. June 29, 2010 response to AESO information request.
4. AESO letter to Canadian Natural Resources Ltd. dated July 7, 2010 notifying the referral of the matter to the MSA.
5. Canadian Natural Resources Ltd. August 18, 2010 email to the MSA.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) [sabi.ghavami@auc.ab.ca](mailto:sabi.ghavami@auc.ab.ca), and Darin Lowther (Director, Market Rules) [darin.lowther@auc.ab.ca](mailto:darin.lowther@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature:	"Original Signed"	Signature Date:	August 24, 2010
Name:	Wayne Silk	Title:	Deputy Administrator