

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 28, 2024		Payment Due Date: March 29, 2024	
MSA File Number	2023-245	Specified Penalty Amount	\$500
Market Participant Name	Kneehill Solar LP		
Asset ID (if applicable)	TRH1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	502.4	Date of Contravention	November 1, 2022
Date of Referral/Self Report	June 9, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 1, 2022, TRH1 was made active in the Energy Trading System. Further information available to the MSA indicates that between November 1 and December 12, the asset was not continuously logged into ADaMS resulting in AESO system controllers manually dispatching the asset.

Section 502.4 of the ISO rules states, in part:

- 4(1) All Automated Dispatch and Messaging system and voice communication systems under this section 502.4 must be continuously operational twenty four (24) hours a day, seven (7) days a week.
- (2) Those systems must be maintained and serviced generally in accordance with good electric industry practice to ensure they are continuously operational.

The MSA is satisfied that the conduct was a contravention of section 502.4 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 28, 2024